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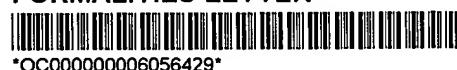
APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/821,685	03/29/2001	Scott Carmody	26283-4

CONFIRMATION NO. 4203

22493

BOARDMAN, SUHR, CURRY & FIELD LLP
ATTN: IP PRACTICE GROUP
1 SOUTH PINCKNEY STREET, FOURTH FLOOR
P.O. BOX 927
MADISON, WI 53701-0927

FORMALITIES LETTER



OC000000006056429

Date Mailed: 05/09/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 420.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

10/15/2001 HTECKLU1 00000114 09821685

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01 FC:201
02 FC:205

370.00 OP
65.00 OP



Patent Application
Attorney Docket No.: 26283-4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Charles Scott Carmody
Serial No.: 09/821,685
Filing Date: March 29, 2001
For: SYSTEM AND METHOD FOR MONITORING,
RECORDING AND REPORTING THE SERVICING
OF PRIVATE ONSITE WASTEWATER TREATMENT
SYSTEMS
Examiner: Unassigned
Group Art Unit: 1724

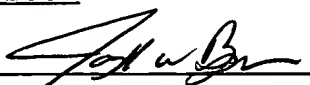
**CERTIFICATE OF MAILING BY FIRST CLASS MAIL
(37 C.F.R. §1.8(a))**

I hereby certify that the following documents:

- (1) Return Receipt Postcard;
- (2) Transmittal Form, Form PTO/SB/21, 1 page;
- (3) Fee Transmittal, Form PTO/SB/17 (in duplicate), 1 page;
- (4) Petition for Extension of Time, Form PTO/SB/22, 1 page;
- (5) Notice to File Missing Parts of Nonprovisional Application (copy),
1 page;
- (6) Declaration, Form PTO/SB/01, 2 pages;
- (7) Power of Attorney for Charles Scott Carmody, Form PTO/SB/81,
1 page; and
- (8) Check No. 49556 in the amount of \$895

related to the above-referenced patent application are being deposited herewith with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Box Missing Parts, Assistant Commissioner for Patents, Washington, DC 20231 on October 9, 2001.

Date: October 9, 2001


Joseph W. Byrne
Reg. No. 43,522

BOARDMAN, SUHR, CURRY & FIELD LLP
1 South Pinckney Street, Fourth Floor
P.O. Box 927
Madison, WI 53701-0927
Phone: 608-257-9521
Fax: 608-283-1709
@PFDesktop\::ODMA\WORLD\DOX\F:\DOCS\WD\26283\4\FCCERT1.WPD



Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/821,685
Filing Date	March 29, 2001
First Named Inventor	Charles Scott Carmody
Group Art Unit	1724
Examiner Name	Unassigned
Attorney Docket Number	26283-4

Total Number of Pages in This Submission 11

ENCLOSURES (check all that apply)

☒ Fee Transmittal Form (in duplicate)

☒ Fee Attached

☐ Amendment / Reply

☐ After Final

☐ Affidavits/declaration(s)

☒ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☒ Response to Missing Parts/
Incomplete Application

☒ Response to Missing Parts
under 37 CFR 1.52 or 1.53

☐ Assignment Papers
(for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a
Provisional Application

☒ Power of Attorney, Revocation
Change of Correspondence
Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) _____

☐ After Allowance Communication
to Group

☐ Appeal Communication to Board
of Appeals and Interferences

☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please
identify below):

See "Remarks" below

Remarks

Additional enclosures:

Declaration, Form PTO/SB/01, 1 page

Certificate of Mailing by First Class Mail (37 C.F.R. 1.8(a)), 1 page

Return Receipt Postcard

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

Joseph W. Byrne, Reg. No. 43,522

Signature

Date

October 9, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: October 9, 2001

Typed or printed name

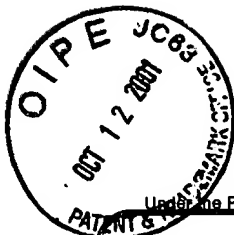
Joseph W. Byrne

Signature

Date

October 9, 2001

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PTO/SB/17 (09-00)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 895.00

Complete if Known

Application Number	09/821,685
Filing Date	March 29, 2001
First Named Inventor	Charles Scott Carmody
Examiner Name	Unassigned
Group Art Unit	1724
Attorney Docket No.	26283-4

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 02-2850

Deposit Account Name Boardman, Suhr, Curry & Field, LLP

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	370.00
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$) 370.00

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent	1 -20** = 0	X -0-	= -0-
Multiple Dependent	1 -3** = 0	X -0-	= -0-

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) -0-

**for number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	65.00
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 390	216 195	Extension for reply within second month	
117 890	217 445	Extension for reply within third month	460.00
118 1,390	218 695	Extension for reply within fourth month	
128 1,890	228 945	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to Institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,240	241 620	Petition to revive - unintentional	
142 1,240	242 620	Utility Issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 240	126 240	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279 355	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) _____

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 525.00

SUBMITTED BY

Name (Print/Type)	Joseph W. Byrne	Registration No. (Attorney/Agent)	43,522	Telephone	(608) 257-9521
Signature		Date	October 9, 2001		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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